

Raman instrument and application

Sung Sik Lee

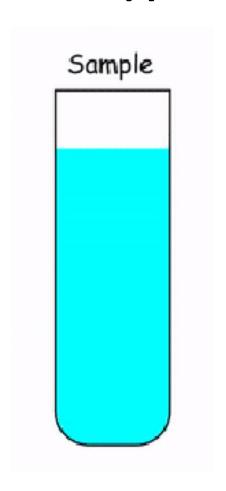
Head of Optofluidics unit, ScopeM, Research Fellow, Institute of Biochemistry ETH Zurich, Switzerland





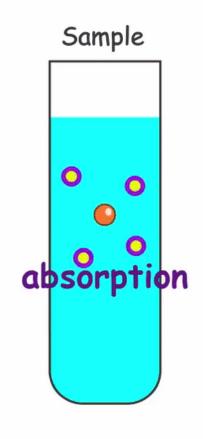


When the light is illuminated to the sample..... What happens?



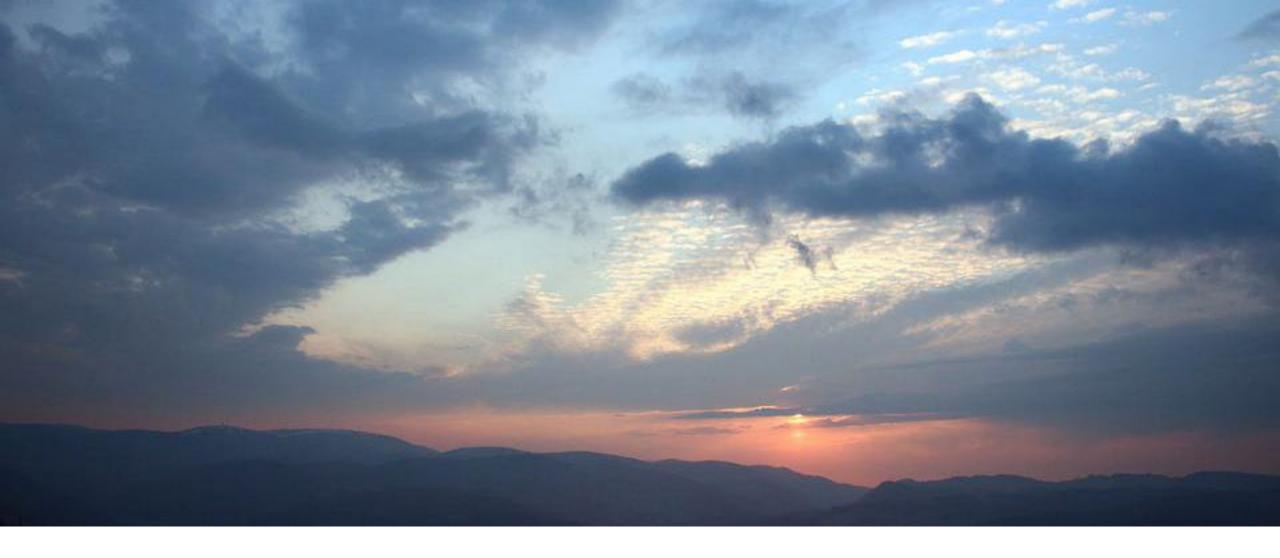
When the light is illuminated to the sample..... What happens?





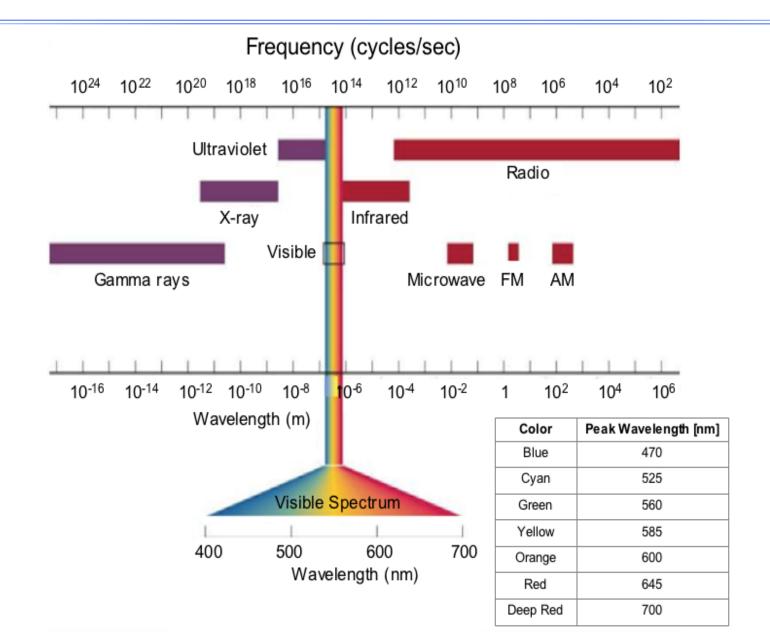
transmitted light

Only for schematics & understanding



Spectroscopy "Light" – "Matter" interaction

Spectroscopy techniques



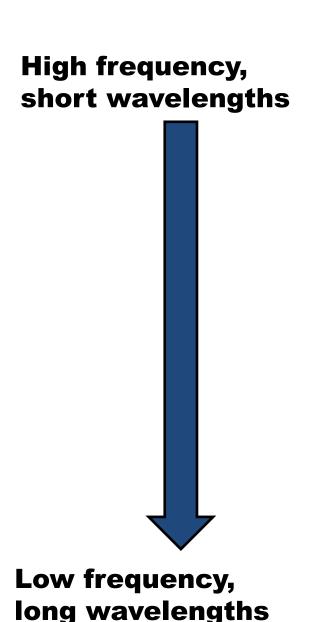
Spectroscopy techniques

- X-ray fluorescence spectroscopy
 - Emission spectroscopy,
 - Studies electronic states
- UV/Vis spectroscopy
 - Absorption spectroscopy
 - Studies electronic states
- Fluorescence spectroscopy
 - Emission spectroscopy
 - Studies electronic states
- Raman spectroscopy
 - Scattering spectroscopy
 - Studies vibrational states
- IR spectroscopy

spectroscopy

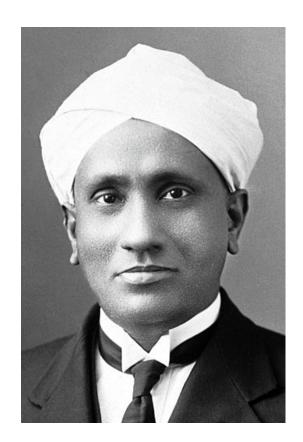
Vibrational

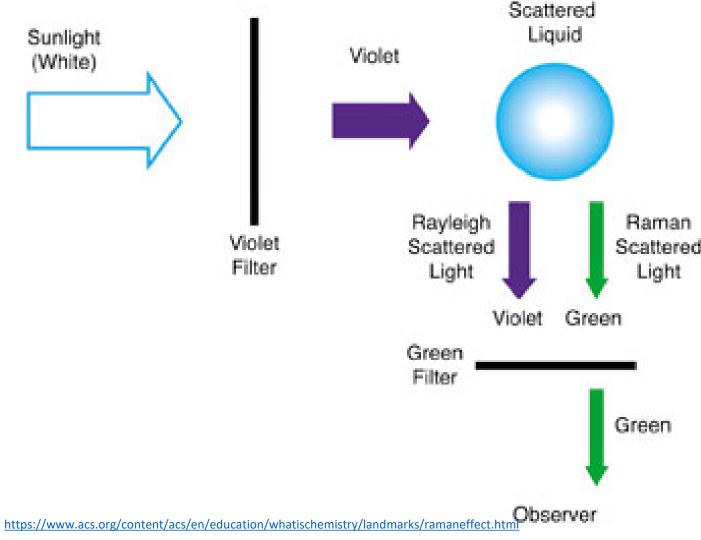
- Absorption (or reflection) spectroscopy
- Studies vibrational states
- Microwave spectroscopy
 - Absorption spectroscopy
 - Studies rotational states



The Raman effect: History

First experimental observation of the inelastic scattering light by C. V. Raman (Nobel prize, 1930) and K. S. Krishnan. (Light source: filtered beam of sunlight)





Nature **volume 121**, pages 501–502 (1928)

A New Type of Secondary Radiation.

If we assume that the X-ray scattering of the 'unmodified' type observed by Prof. Compton corresponds to the normal or average state of the atoms and molecules, while the 'modified' scattering of altered wave-length corresponds to their fluctuations from that state, it would follow that we should expect also in the case of ordinary light two types of scattering, one determined by the normal optical properties of the atoms or molecules, and another representing the effect of their fluctuations from their normal state. It accordingly becomes necessary to test whether this is actually the case. The experiments we have made have confirmed this anticipation, and

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Nature **volume 122**, pages 12–13 (1928)

: Benzene

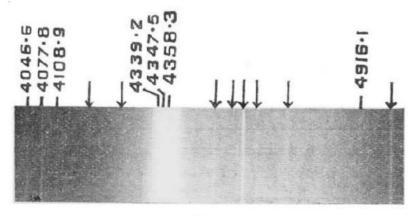


FIG. 1.

shown that in every case in which light is scattered by the molecules in dust-free liquids or gases, the diffuse radiation of the ordinary kind, having the same wave-length as the incident beam, is accompanied by a modified scattered radiation of degraded frequency.

The new type of light scattering discovered by us naturally requires very powerful illumination for its observation. In our experiments, a beam of sunlight was converged successively by a telescope objective of 18 cm. aperture and 230 cm. focal length, and by a second lens of 5 cm. focal length. At the focus of the second lens was placed the scattering material, which is either a liquid (carefully purified by repeated distillation in vacuo) or its dust-free vapour. To detect the presence of a modified scattered radiation, the method of complementary light-filters was used. A blue-violet filter, when coupled with a yellow-green filter and placed in the incident light, completely extinguished the track of the light through the liquid or vapour. The reappearance of the track when the yellow filter is transferred to a place between it and the observer's eye is proof of the existence of a modified scattered radiation. Spectroscopic confirmation is also available.

Some sixty different common liquids have been examined in this way, and every one of them showed the effect in greater or less degree. That the effect is a true scattering and not a fluorescence is indicated in the first place by its feebleness in comparison with the ordinary scattering, and secondly by its polarisation, which is in many cases quite strong and comparable with the polarisation of the ordinary scattering. The investigation is naturally much more difficult in the case of gases and vapours, owing to the excessive feebleness of the effect. Nevertheless, when the vapour is of sufficient density, for example with ether or amylene, the modified scattering is readily

demonstrable.

C. V. RAMAN. K. S. Krishnan.

210 Bowbazar Street, Calcutta, India, Feb. 16.

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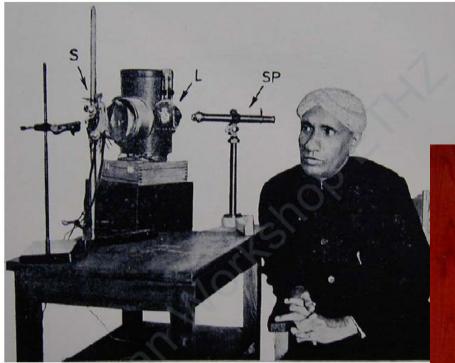
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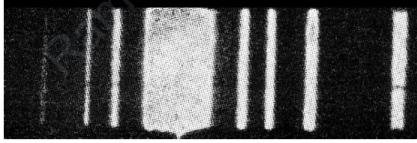
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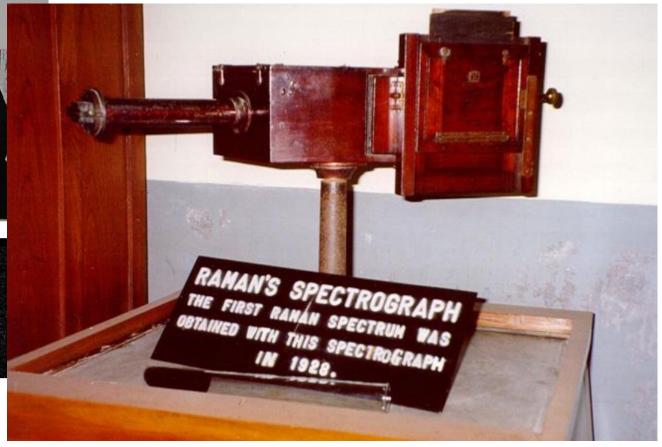
Discovery of the Raman Effect (1928; NPP 1930)





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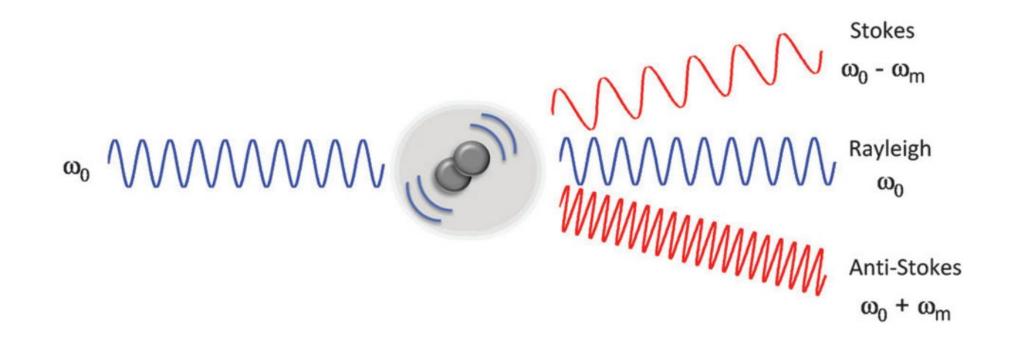


Visual Guide to Raman Spectroscopy

The Raman effect

Light interacting with matter can either be elastically or inelastically scattered.

- The elastic process (no energy is exchanged) is called Rayleigh scattering.
- The inelastic process (photon energy loss or gain) results in scattered light of different wavelength compared to the incident light: Raman scattering, Brillouin scattering



What could be the origin of the Raman effect?

Classical Description of the Raman Effect

Incident electric field:





Induced dipole moment:

$$\mu = \alpha E$$
 (2) Polarizability

Induced Polarization

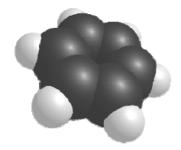
$$\mu = \alpha E_0 \cos \omega_0 t \tag{3}$$

Oscillating molecule:

$$q = q_0 \cdot \cos \omega_R t \tag{4}$$

Expansion of
$$\alpha$$
 around q = 0:

Expansion of
$$\alpha$$
 around $q = 0$: $\alpha = \alpha(q) = \alpha_0 + \left(\frac{\partial \alpha}{\partial q}\right)_0 q + \dots$ (5)



The classical theory of the Raman effect is based upon polarizability of molecules, which reflects how easy an electron cloud of a molecule can be distorted by an electric field (light)

Raman Effect: Classical Description

$$\mu = \left[\alpha_0 + \left(\frac{\partial \alpha}{\partial q}\right)_0 q_0 \cos \underline{\omega_R} t\right] E_0 \cdot \cos \underline{\omega_0} t \tag{6}$$

Applying trigonometric formula

$$\mu = \alpha_0 E_0 \cos \underline{\omega_0 t} + \frac{1}{2} \left(\frac{\partial \alpha}{\partial q} \right)_0 q_0 E_0 \cos (\underline{\omega_0 - \omega_R}) t$$

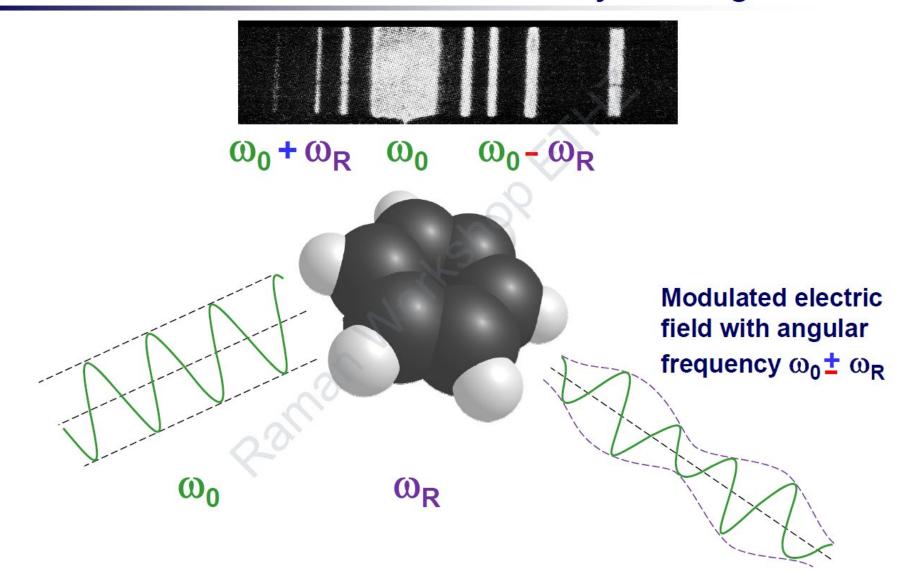
Rayleigh scattering

Stokes Raman scattering

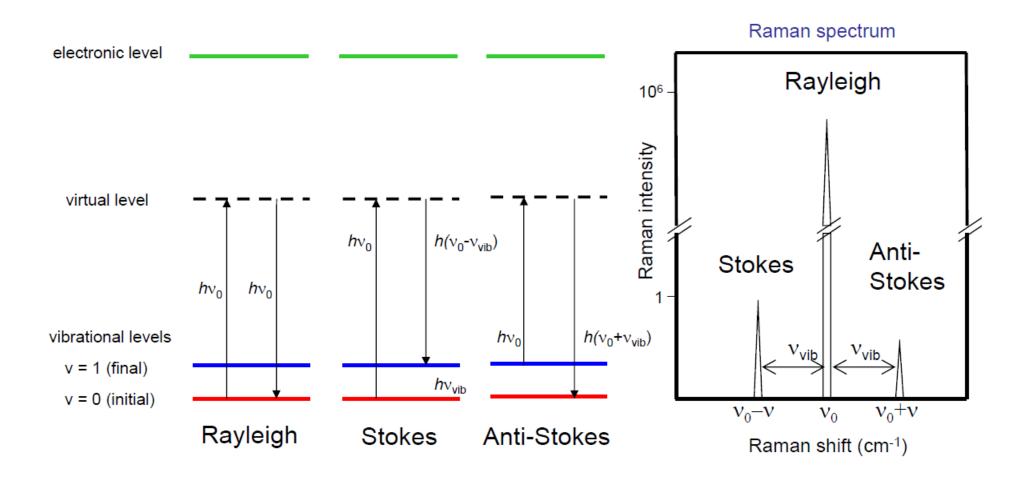
$$+\frac{1}{2}\left(\frac{\partial\alpha}{\partial q}\right)_0 q_0 E_0 \cos\left(\underline{\omega_0 + \omega_R}\right) t \tag{7}$$

Anti-Stokes Raman scattering

Modulation of the incident electric field by vibrating molecule



Quantum mechanics approach



- Same information contained in Stokes and Anti-Stokes signals
- Same distance from Rayleigh line whatever ν₀

Quantum mechanics theory

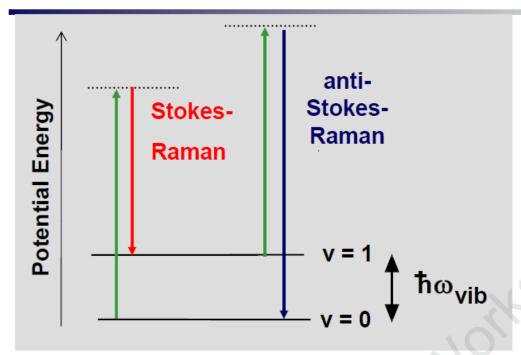
 Classical theory inadequate: same intensity for Anti-Stokes and Stokes lines is predicted

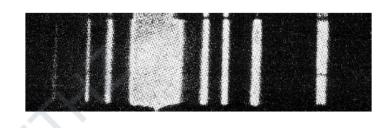
Stokes lines more intense than Anti-Stokes lines (factor 100)

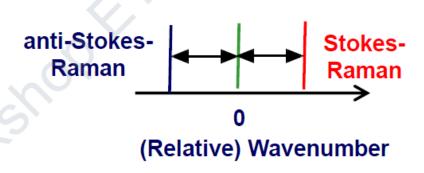
Measure of Temperature:

$$\frac{\text{I (Anti-Stokes)}}{\text{I (Stokes)}} = \left(\frac{v_0 + v_{\text{vib}}}{v_0 - v_{\text{vib}}}\right)^4 e^{-hv_{\text{vib}}/kT}$$

Different Intensities for Stokes/anti-Stokes Scattering







Relative Intensities -> Boltzmann Factor

$$\frac{N(v=1)}{N(v=0)} = e^{-\Delta E/k_B T} = e^{-\hbar \omega_{vib}/k_B T}$$

At room temperature:

$$k_B T \approx 200 \text{ cm}^{-1} \text{ or } 1 \text{ eV}/40 = 25 \text{ meV}$$

Helpful: 500 nm = 20 000 cm⁻¹ \approx 2.5 eV

Examples:

S-S stretch

$$\approx 500 \text{ cm}^{-1}$$
 $e^{-500/200}$
 $= e^{-5/2}$
 $\approx 8\%$

C-H stretch (aromatic)

$$\approx 3000 \text{ cm}^{-1}$$

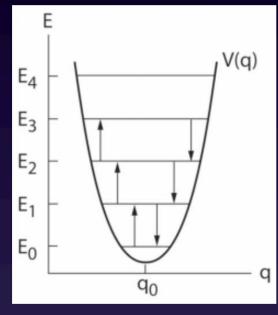
$$e^{-3000/200}$$

$$= e^{-15}$$

$$\approx 3 \cdot 10^{-5} \%$$

Harmonic oscillator



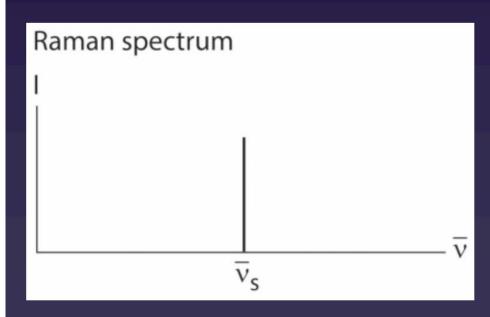


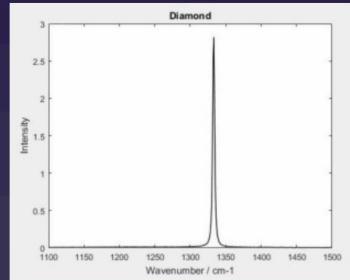
Energy: $E_n = (n + \frac{1}{2}) h c \overline{v}$

Selection rule: $\Delta n = \pm 1$

Energy of transition: $\Delta E = h c \overline{v}$

Raman (Stokes) spectrum of diamond (\overline{v} = 1331 cm⁻¹)

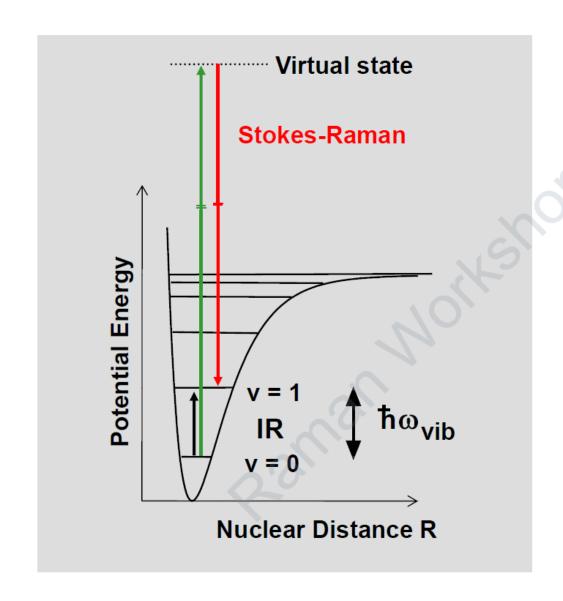


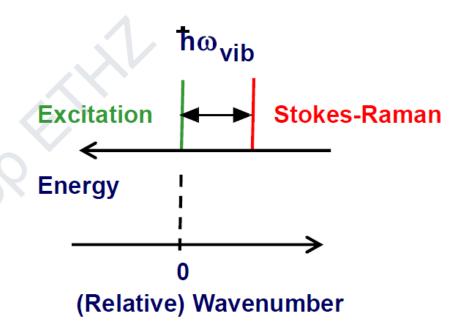




Premier diamond mine (1.86 ct)

Energy Diagram ↔ **Vibrational Spectrum**



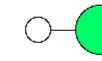


Vibrations in Molecules

Sym. Stretching

HCI
$$v = 2991 \text{ cm}^{-1}$$

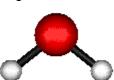
$v = 4139 \text{ cm}^{-1}$ HF

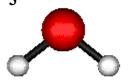


Asym. Stretching

$$H_2O v_1 = 3835 \text{ cm}^{-1}$$

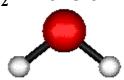
$$v_3 = 3939 \text{ cm}^{-1}$$





Sym. Bending

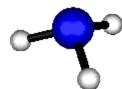
$$v_2 = 1648 \text{ cm}^{-1}$$



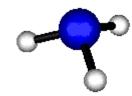
Asym. Bending

 $v_4 = 1689.7 \text{ cm}^{-1}$

$$v_2 = 1022 \text{ cm}^{-1}$$

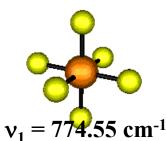




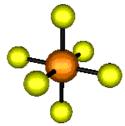


$$v_2 = 615.02 \text{ cm}^{-1}$$

 $v_6 = 348.08 \text{ cm}^{-1}$

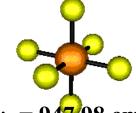


SF₆

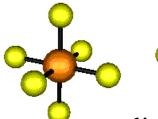


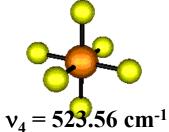
 $v_5 = 643.35 \text{ cm}^{-1}$

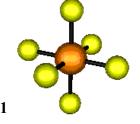
 $NH_2 v_1 = 3505.7 \text{ cm}^{-1}$ $v_3 = 3573.1 \text{ cm}^{-1}$





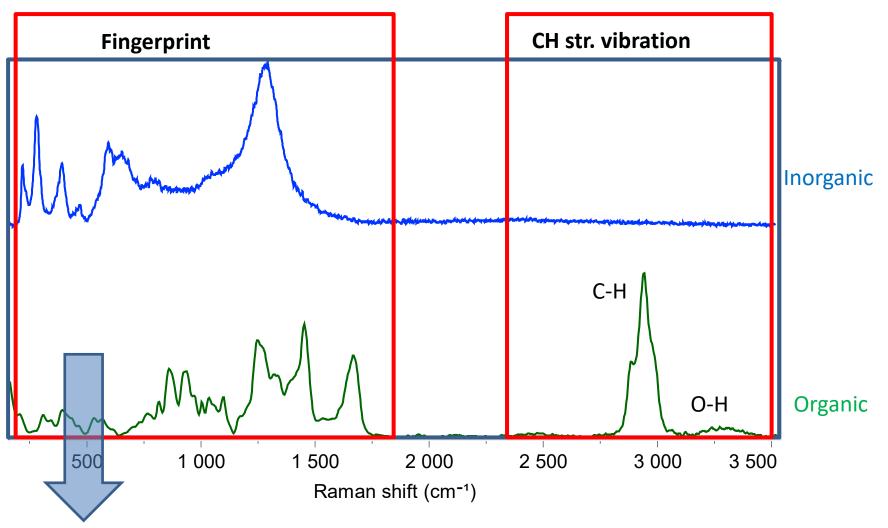






 H_2O **Asym. Stretching** Sym. Bending $v_1 = 3835 \text{ cm}^{-1}$ $v_3 = 3939 \text{ cm}^{-1}$ $v_2 = 1648 \text{ cm}^{-1}$ hydrogen stretching bond Raman intensity (arb. units) V_3 librations bending v_2 +librations 250 500 750 2000 3000 4000 0 Wavenumbers (cm⁻¹)

Characteristic frequencies for the vibrations

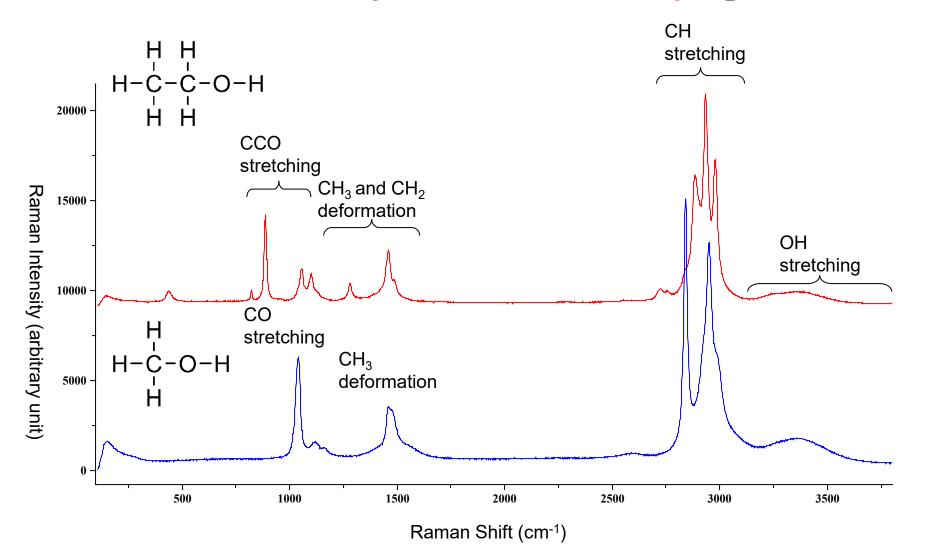


Vibrations which characterize different components

Example

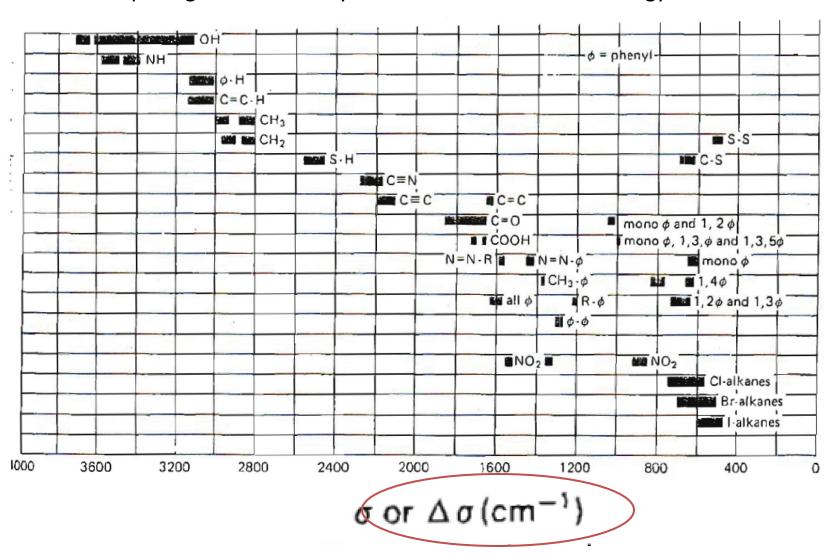
Significant identification of alcohols which differ just in one CH₂-group

Methanol - CH₃OH vs. Ethanol - CH₃CH₂OH



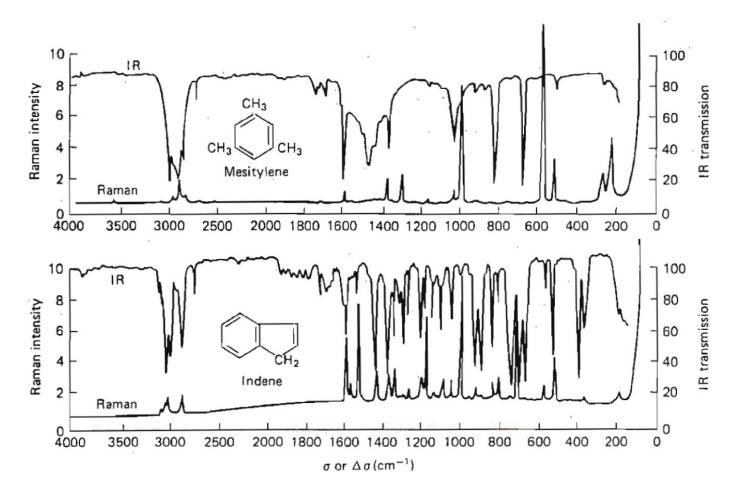
Raman Spectroscopy: General

• Group assignments identify characteristic vibrational energy



Raman Spectroscopy: General

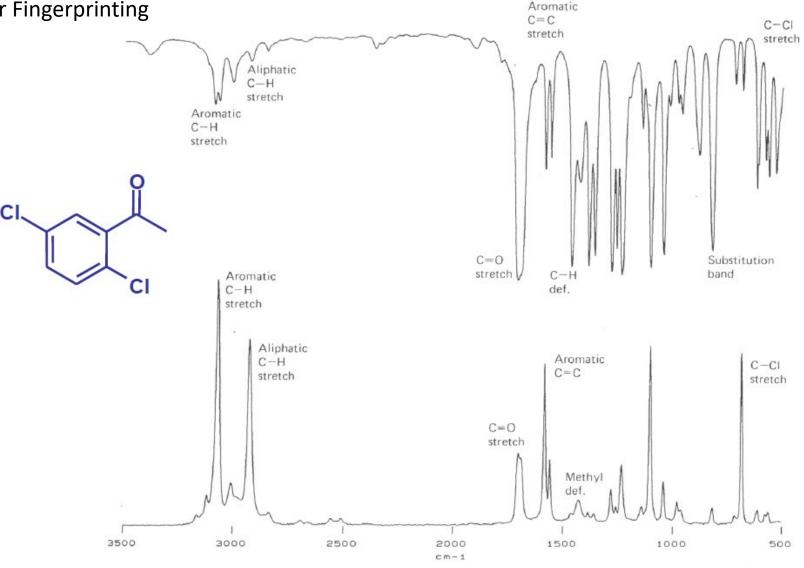
• IR and Raman are both useful for Fingerprinting



• Symmetry dictates which are active in Raman and IR

Raman vs IR Spectroscopy: General

• IR and Raman are both useful for Fingerprinting



Symmetry dictates which are active in Raman and IR

Fig. 2.25 — The infrared and Raman spectra of 2,5-Dichloroacetophenone.

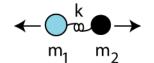
Raman Spectroscopy: Classical Treatment

Number of peaks related to degrees of freedom

$$DoF = 3N - 6$$
 (bent) or $3N - 5$ (linear) for N atoms

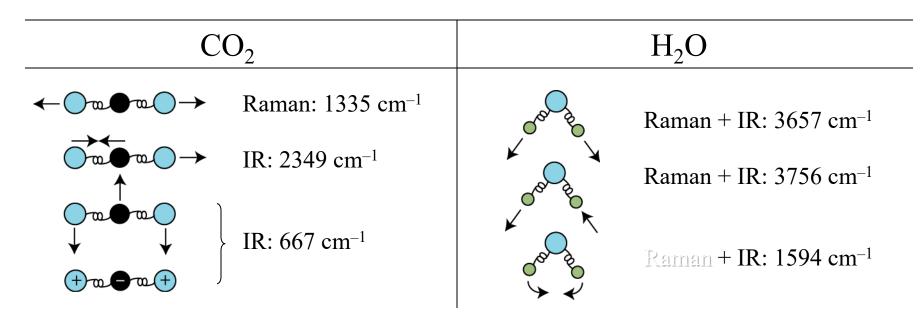
• Energy related to harmonic oscillator

$$\sigma \text{ or } \Delta \sigma = \frac{c}{2\pi} \sqrt{\frac{k(m_1 + m_2)}{m_1 m_2}} \qquad \qquad \longleftarrow \stackrel{k}{\underset{m_1 \text{ } m_2}{\longleftarrow}} \longrightarrow$$



Selection rules related to symmetry

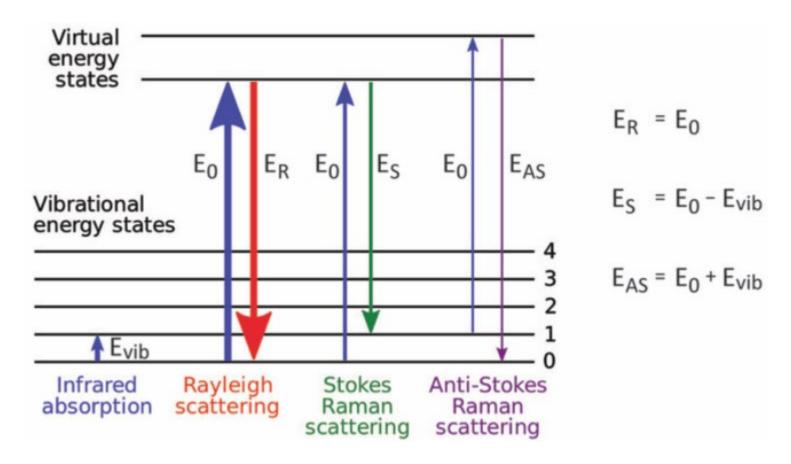
Rule of thumb: symmetric=Raman active, asymmetric=IR active



Introduction - Raman vs. IR

	Raman	IR
Theoretical statements	It is due to the scattering of light by the vibrating molecules	It is the result of absorption of light by vibrating molecules
	The vibration is Raman active if it causes a change in polarisability	The vibration is IR active if there is a change in dipole moment during the vibration
	The molecule doesn't need to have a permanent dipole moment	The vibration concerned should have a change in dipole moment due to that vibration
Exp. statements	Water can be used as a solvent	Water cannot be used due to its intense absorption
	Sample preparation is not necessary Sample can be almost in any state	Sample preparation is important Gaseous samples can rarely be used

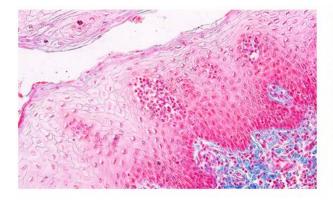
Energy transfer model



Inelastic processes:

- Gases, liquids: Excitation of *molecular vibrations*, *Rotation* E_{vib} > E_{rot}
- Crystals: Excitation of *lattice vibrations* (phonons)
- Stokes spectrum = Energy map of vibrational states E_{vib} = E₀ E_s
- Raman-spectroscopy is complementary to IR-spectroscopy

Application



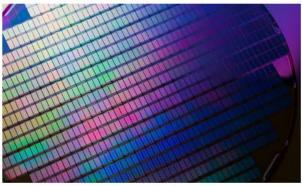
Life sciences

- Life sciences home
- Cells
- Tissue
- Micro-organisms
- Plant biology



Analytical sciences

- Analytical sciences home
- Art and heritage
- Forensics
- Contamination



Materials sciences

- Materials science home
- Carbon and nanotechnology
- Semiconductors
- Photovoltaics
- Battery technology



Earth sciences

- Earth sciences home
- Geology
- Gemmology



Chemical Sciences

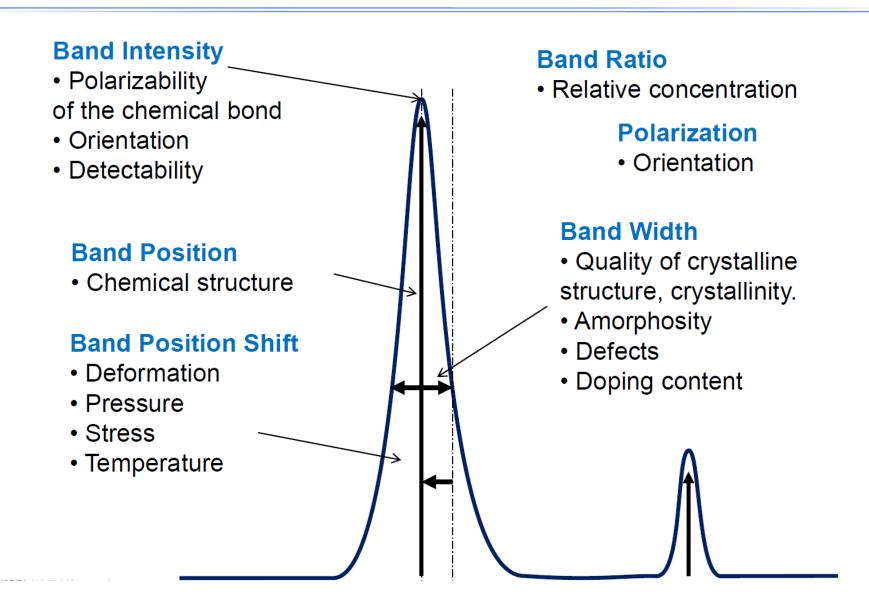
- Chemical sciences home
- Pharmaceuticals
- Polymers
- Chemicals
- Catalysis

Advantages of Raman spectroscopy

- 'See' spectroscopy you can measure it if you can see it
 - Visible lasers
 - Fluorescent label free
 - Adapted to common microscopy / optical components
- 'Easy' spectroscopy
 - Sharp and well resolved features spectral bands
 - Minimal sample preparation
 - Ambient and stress conditions
- High spatial resolution lateral and axial (confocal)
 - 3D mapping
 - Through containers
- High chemical specificity and structural selectivity crystallinity, orientation, hydration, polymorphism
- Good for aqueous solutions
- Non-destructive methods

Raman Spectroscopy: Information

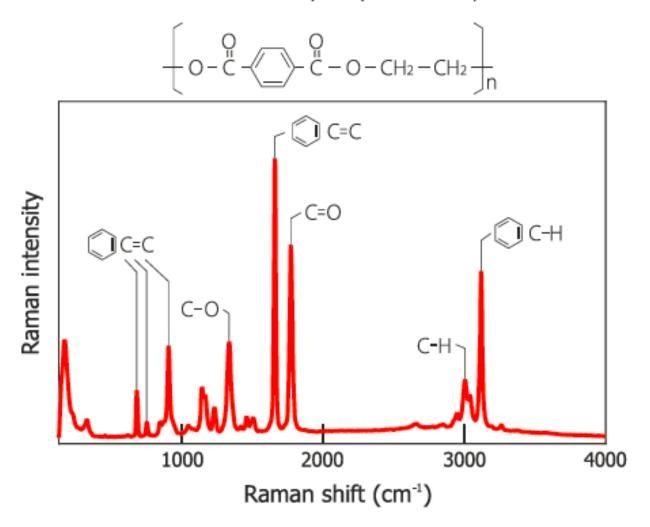
Why Raman?



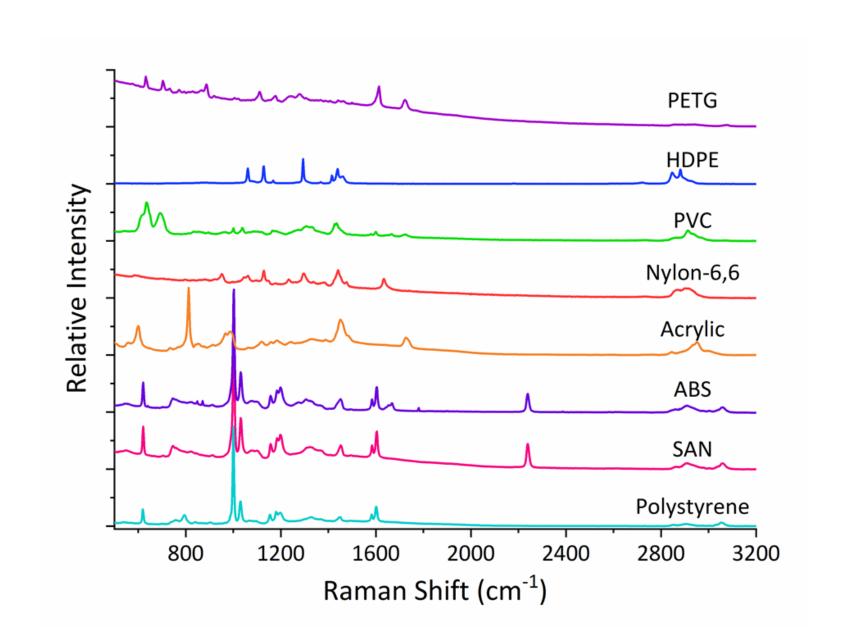
Characteristic frequencies for the vibrations

Polymers - PET

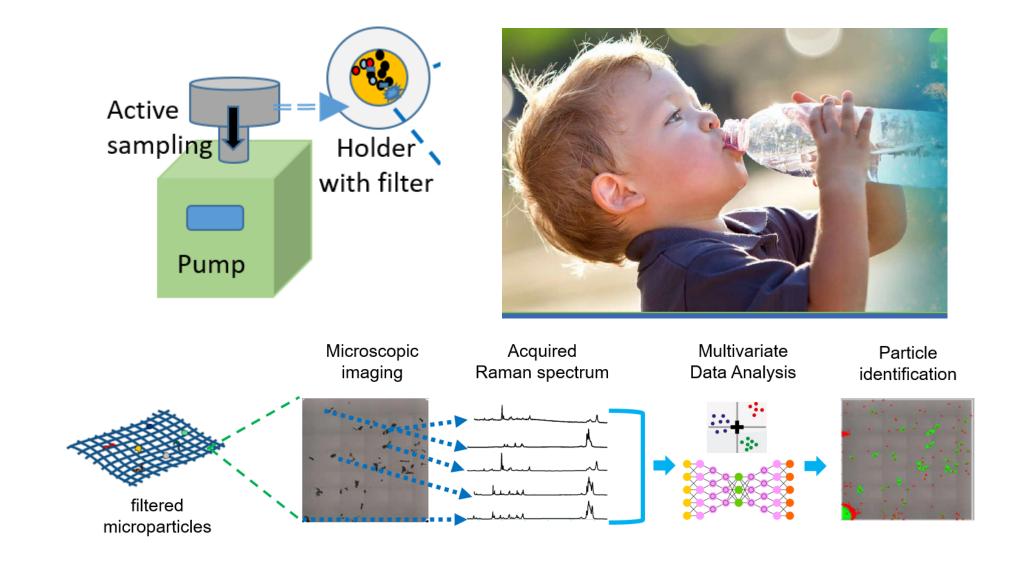
Structural Formula of Polyethylene-Telephthalate (PET)



Example of Raman spectra of polymers

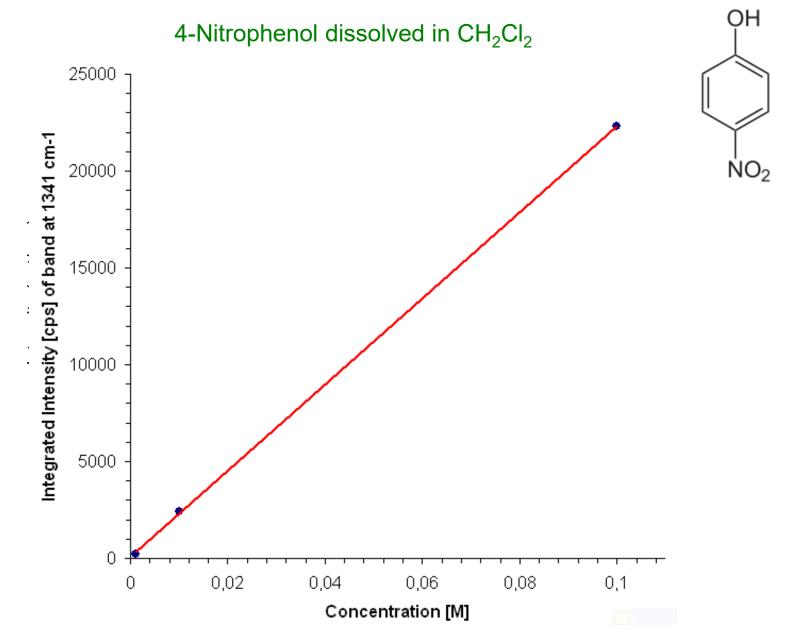


Particle identification with Raman spectroscopy





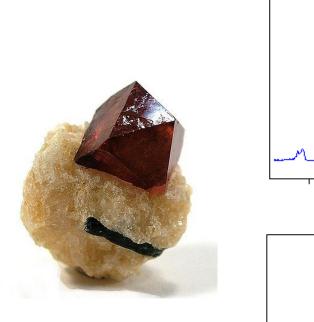
Intensity- concentration

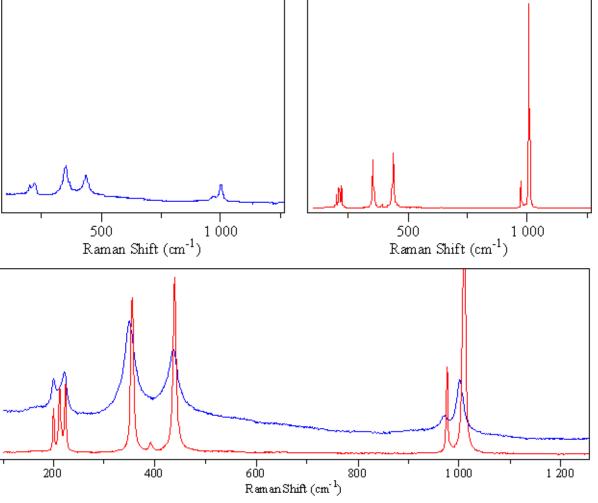


Example: Zircon

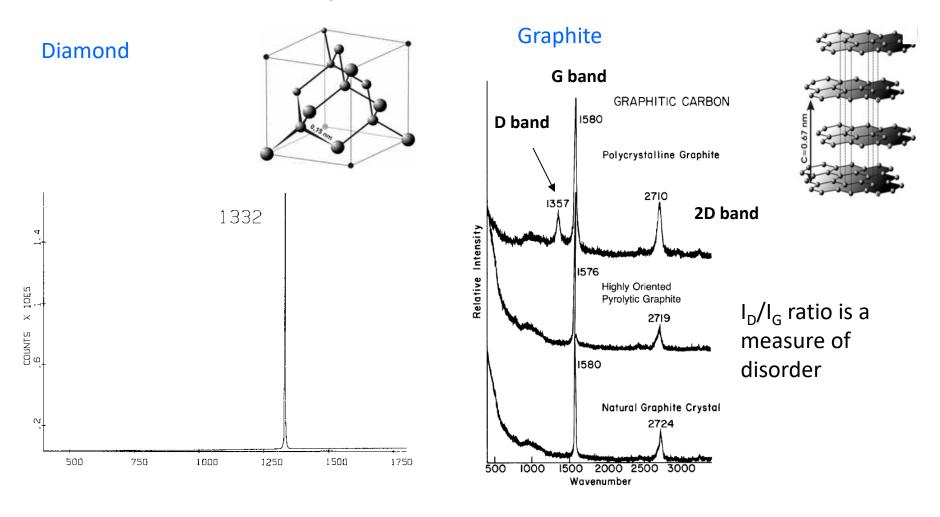
- Bandwidth - Crystallinity - Structural order/disorder

Raman spectra of zircon, showing typical amorphous (blue) and crystalline (red) spectra. (Broadness of peaks)

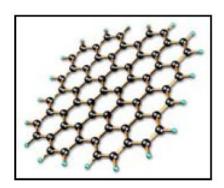




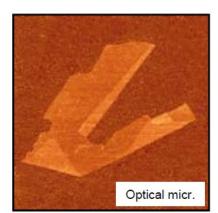
ExampleCharacteristic frequencies for the vibrations

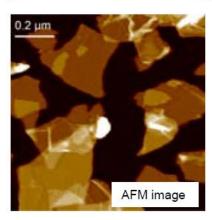


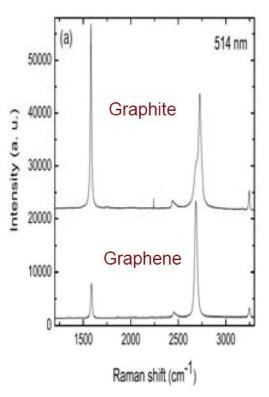
From: « Characterisation of diamond films by Raman spectroscopy », D.S.Knight, W.B. White, J.Mater. Res. 4 (1989), 385-393.

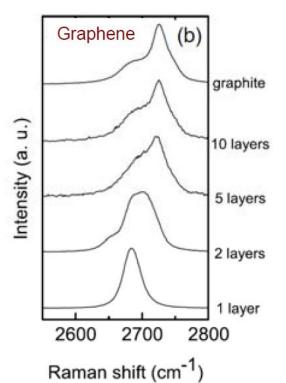


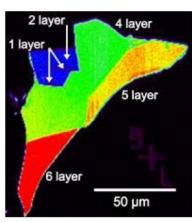
Identification of single atomic layers of graphene









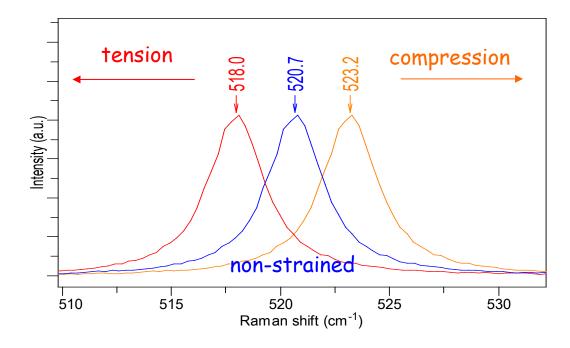


Raman probes-peaks

Strain analysis

The peak position of a non-strained silicon crystal is at 520,7cm-1. If a stress is applied to the structure (due to a defect, a coating, the lattice mismatch ...),

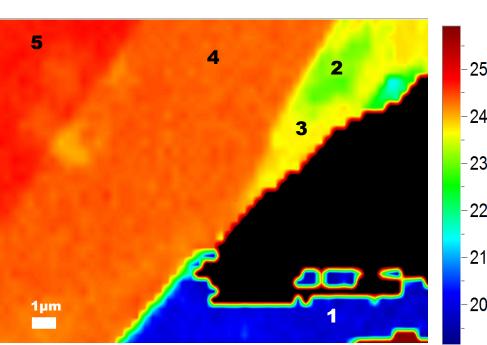
the position peak shifts towards the low frequencies (tensile stress) or towards the high frequencies (compressive stress).

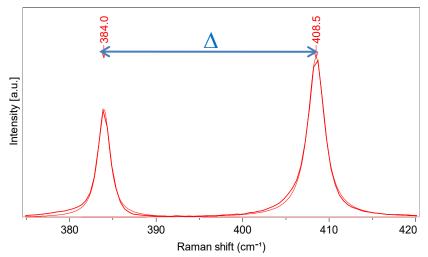


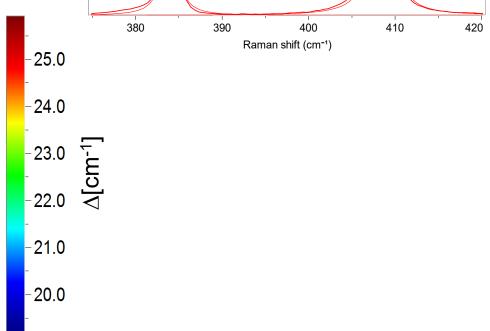
Raman probes-peaks

Number of layers

The difference of 2 peak positions defines the number of layers of MoS2





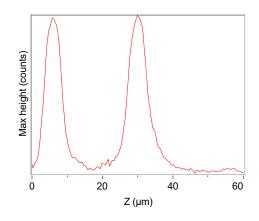


Distribution of sample components - Multispectral analysis

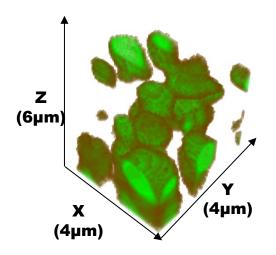
- Multispectral acquisition over <u>1 direction</u> (X, Y or Z axis)
 - Profiles
- Multispectral acquisition over a <u>plane</u>
 - Maps or images
- Multispectral acquisition over a <u>volume</u>
 - 3D maps or images
- Multispectral acquisition over <u>time or temperature</u>
 - Kinetic profiles

Distribution of sample components - Multispectral analysis

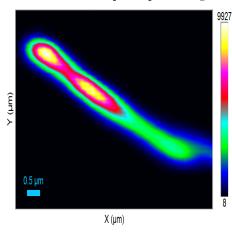
One direction profile (Z axis)



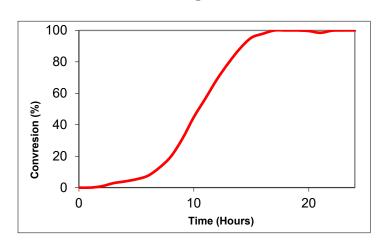
• Volume (3D) maps



• Plane (2D) maps

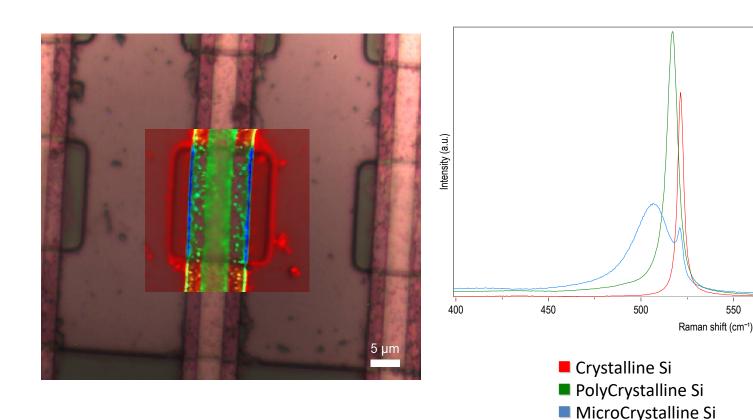


• Kinetic profile



Distribution of sample components

- Multispectral analysis
 - Example of the Silicon with combined maps
 - Overlay of the chemical image with the video image



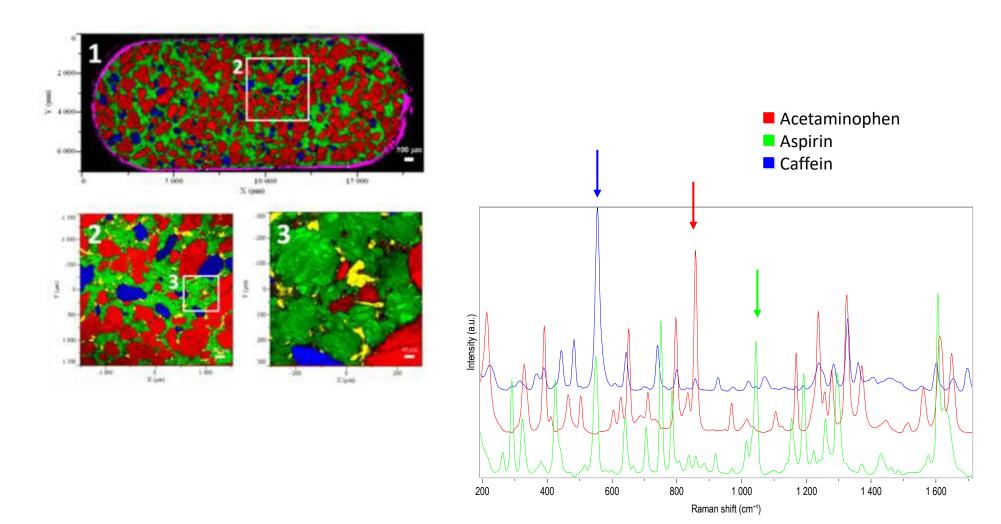
600

650

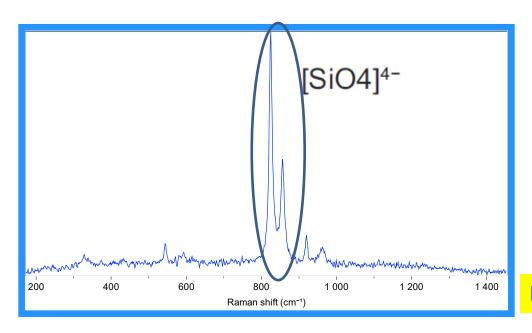
Distribution of sample components (Pill)

- Multispectral analysis

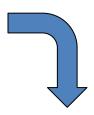
Monitoring the <u>Height/Area</u> of peaks that can be assigned to a single compound



Challenge/Issue: Databases

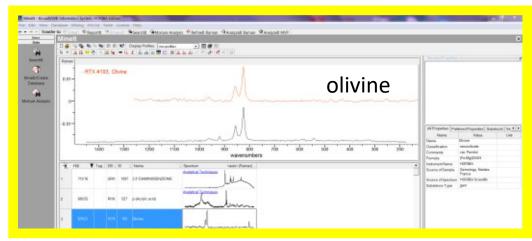


■ Databases can be used in order to directly identify the chemical compounds — should take into account the environment



KIA Database search

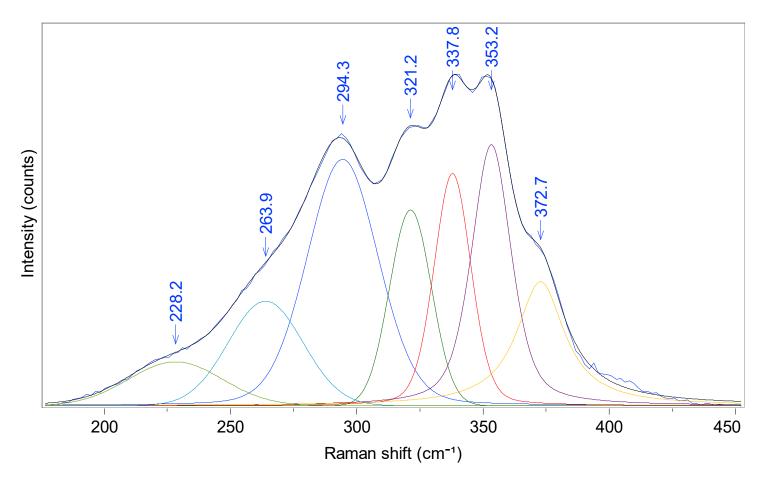
■ Databases can be also used to analyze the vibrations (band – vibration assignment) :



Challenge/ issues / Al tools

Deconvolution

- Choice in the peak shape type (gaussian, lorentzian, asymertric, etc...)
- Possibility to fix / limit some parameters
- A priori knowledge of the composition of the sample is recommended

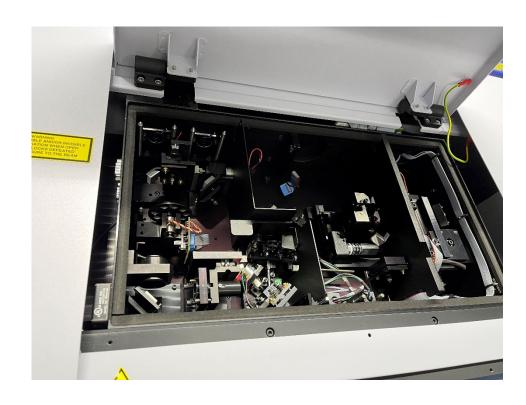


Instrument & components



Instrument & components - II

Horiba



Renishaw



Challenge to design a perfect Raman Instrument

1 by 10⁸ photons is a Raman photon, rest of collected light is scattered Rayleigh light

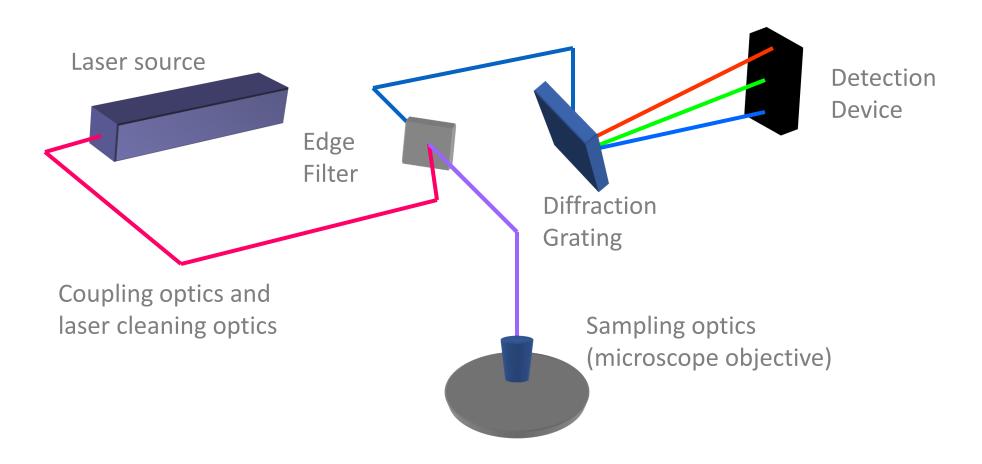
▶ 1st challenge is to get rid of this ,stray light

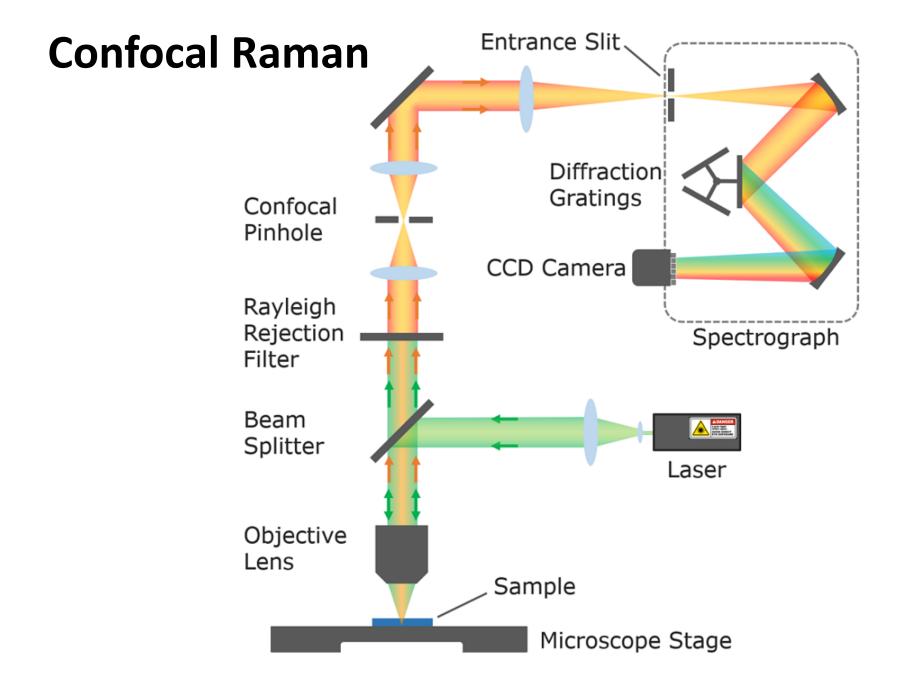
Raman emission is very weak in numbers of photons

► 2nd challenge is to collect maximum of photons

Schematics of Raman spectrometer

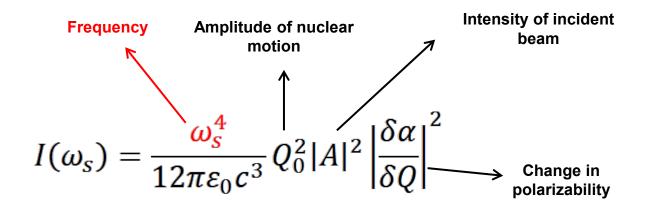
A MicroRaman spectrometer typically consists in:





Role of the laser

- Raman efficiency
 - Intensity of the Raman shifted light:



$$I_{Raman} \sim 1/\lambda^4$$

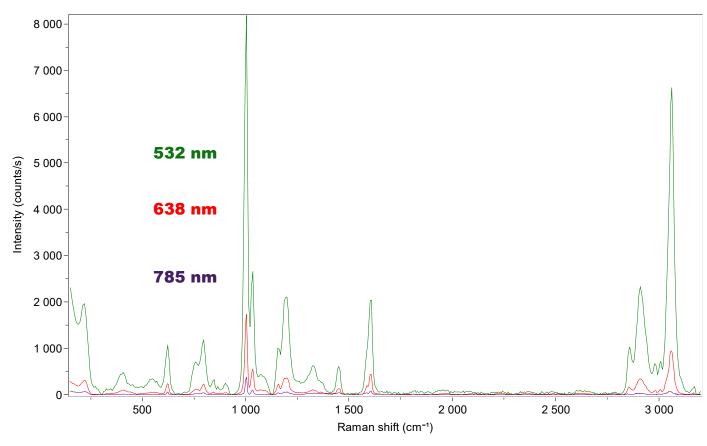
The efficiency increases when going to shorter wavelengths

Role of the laser

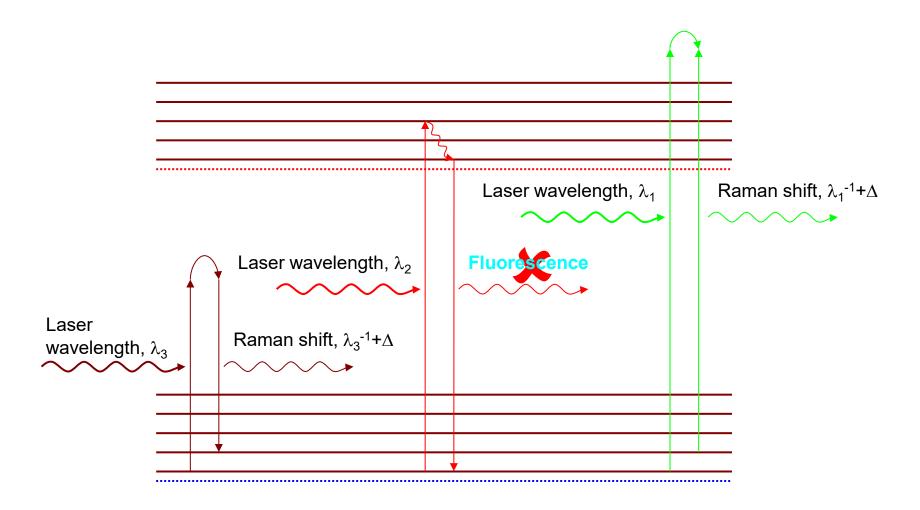
Raman efficiency

Intensity of the Raman light:

Spectra (polystyrene) normalized for the acq. time, the laser power, the detector response



fluorescent: the enemy of Raman

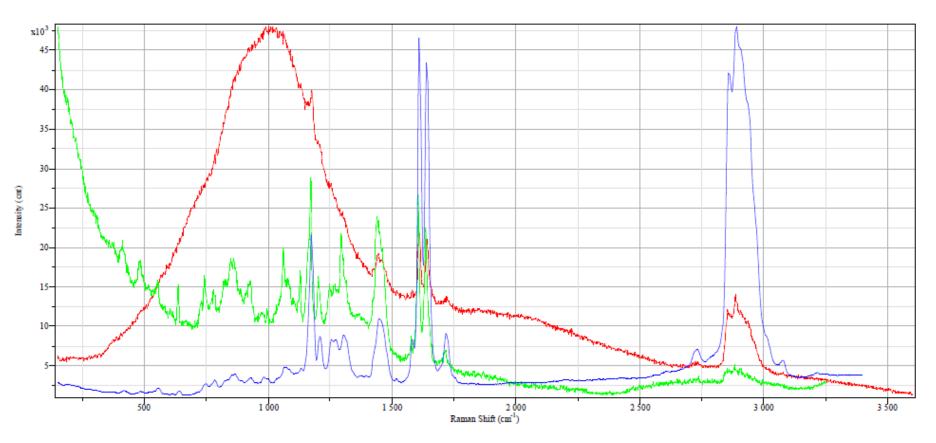


Laser wavelength: $\lambda_3 < \lambda_2 < \lambda_1$

Commercial Hand cream

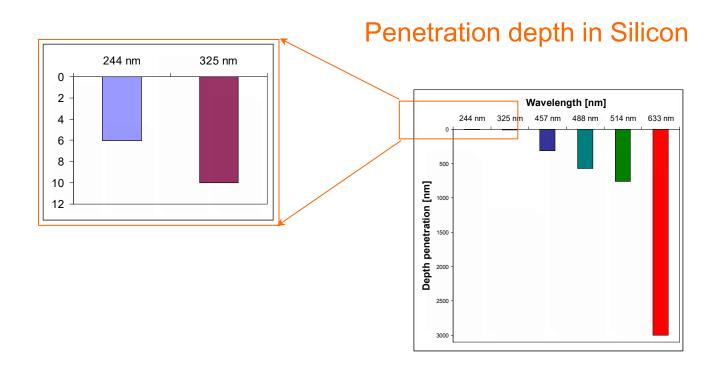
Laser Excitation

→ Reduction of Fluorescence



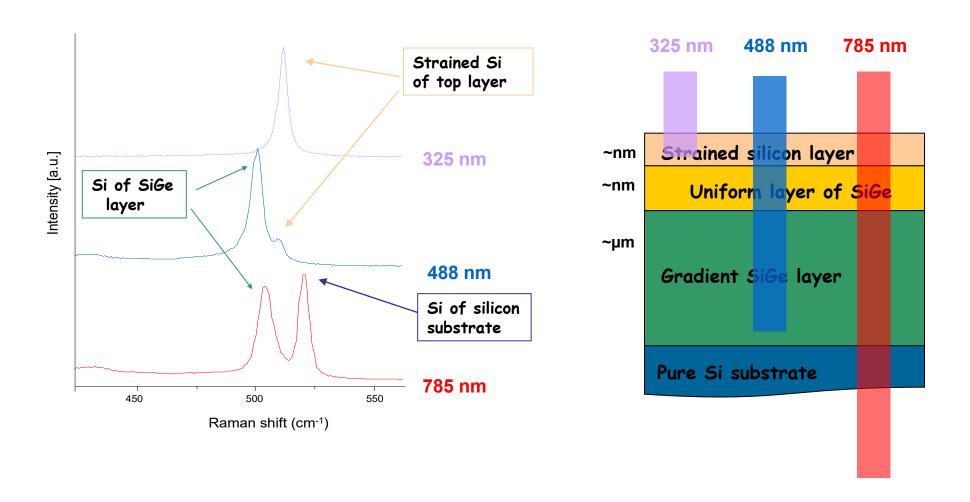
785 nm - 633 nm - 473 nm

Laser source: Penetration depth



- General: The larger the excitation wavelength, the deeper the penetration.
- The exact values depend on the materials. (absorption properties)

Laser source: Penetration depth



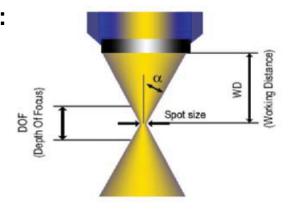
The higher the excitation wavelength, the deeper the penetration.

Role of the laser (Wavelength dependence)

Spot Size / spatial resolution

Laser spot size D is defined by the Rayleigh criterion:

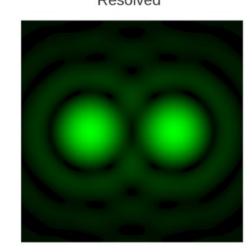
excitation wavelength (
$$\lambda$$
)
$$D = 1,22 \ \lambda \ / \ NA$$
objective numerical aperture (NA)
$$With \ NA=n \ sin\alpha$$

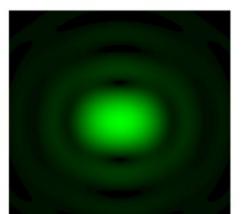


The spatial resolution is roughly half of the laser spot size

Rayleigh criterion enables the calculation of the dimension of the smallest object theoretically resoluble

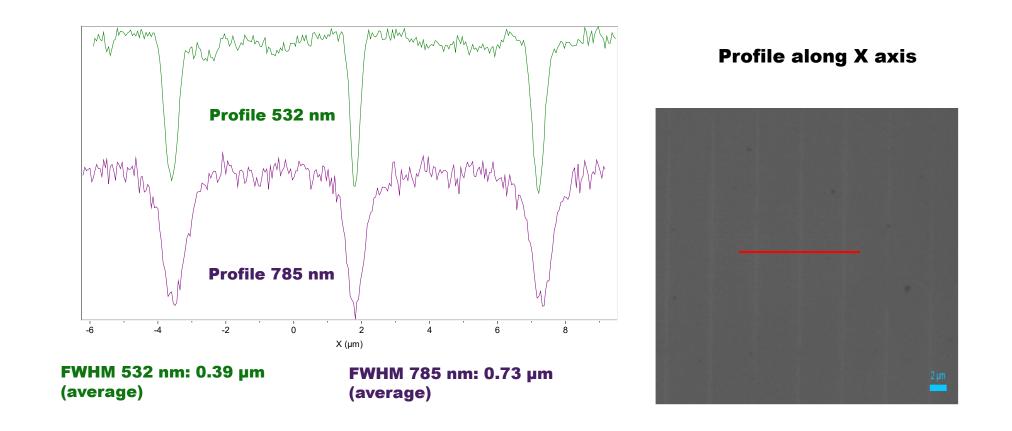
 $\Delta d_{min} = 1.22 \lambda / 2 NA$ = ultimate lateral resolution





Unresolved

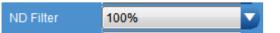
Spatial resolution: wavelength dependence



Use of patterned silicon: 100 nm wide gold covered stripes spaced every 5 µm (substrate: Si).

63

Filter



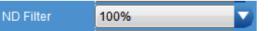
- Keep in mind: the usage of high numerical objective lenses causes a very small spot size of the laser which results in a high power density
- To avoid sample burning radiation power has to be adapted INDIVIDUALLY to the sample

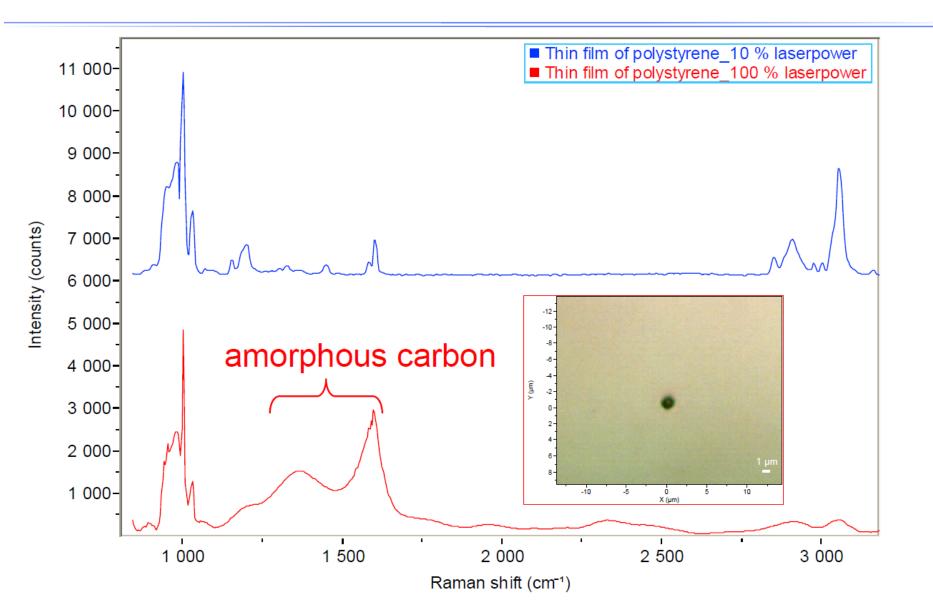
Laser wavelength: 473 nm Laser power at sample: 25.5 mW				
Objective	N.A.	Laser spot size (µm)	Radiation power (kW/cm²)	
100×	0.90	0.64	~7900	
50×	0.75	0.77	~5400	
10×	0.25	2.31	~600	

Laser wavelength: 633 nm Laser power at sample: 12.6 mW				
Objective	N.A.	Laser spot size (µm)	Radiation power (kW/cm²)	
100×	0.90	0.85	~2200	
50×	0.75	1.03	~1500	
10×	0.25	3.09	~200	

HORIBA

Filter



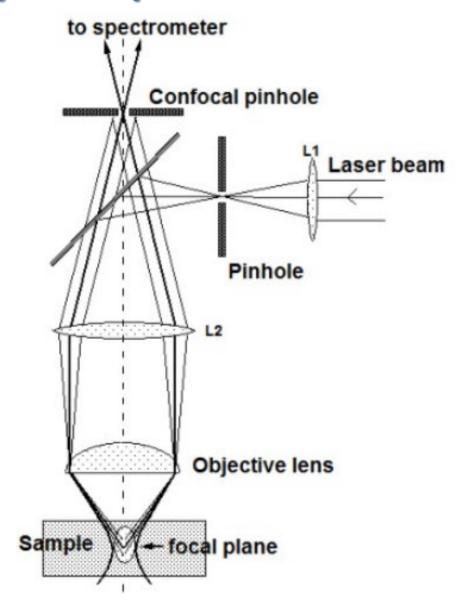




HORIBA Scientific

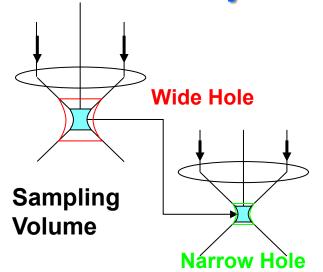
Component: pin hole

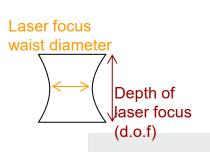
: Confocality and spatial Resolution



Component : pin hole

: Confocality and spatial Resolution



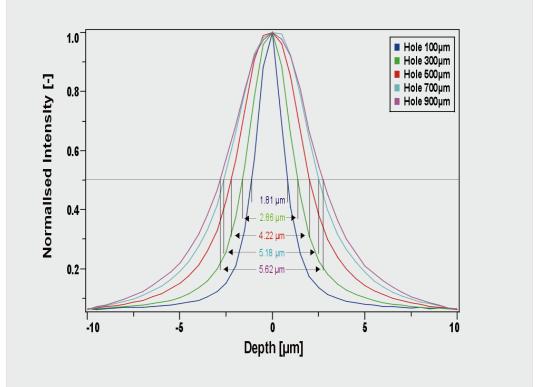


Narrow Hole:

Collecting Raman radiation that originates only from within a diffraction limited laser focal volume with a dimension of:

Focus waist diameter ~ 1.22 λ / NA Depth of laser focus ~ 4 λ / (NA)²

Confocal z-scan against silicon with different hole apertures λ_{exc} = 633 nm



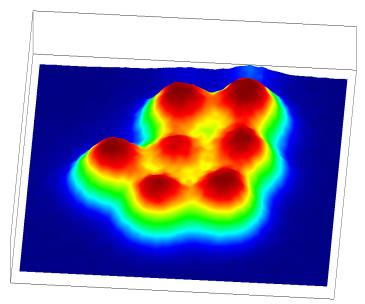
Role of the confocal hole

Confocal Hole

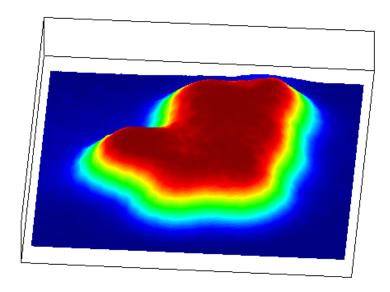
Increase the spatial resolution (X, Y and Z)

Measurement of 1µm polystyrene beads in confocal and non-confocal mode

Hole 100 µm (confocal)

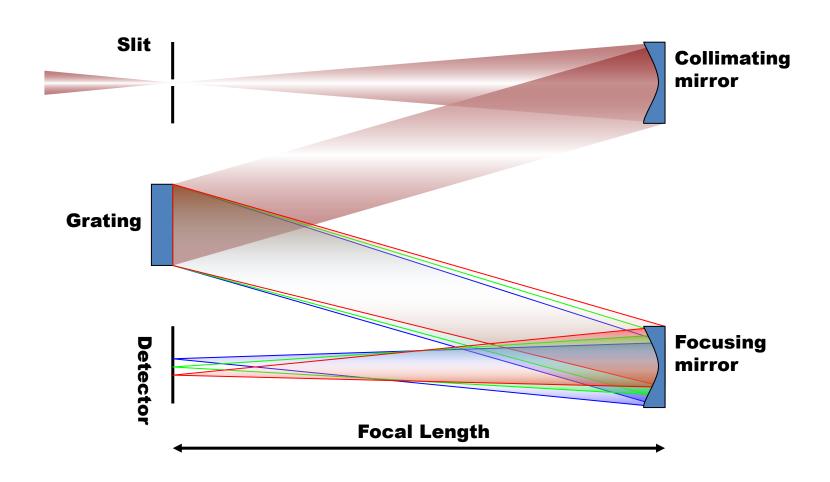


Hole 500 µm (non confocal)

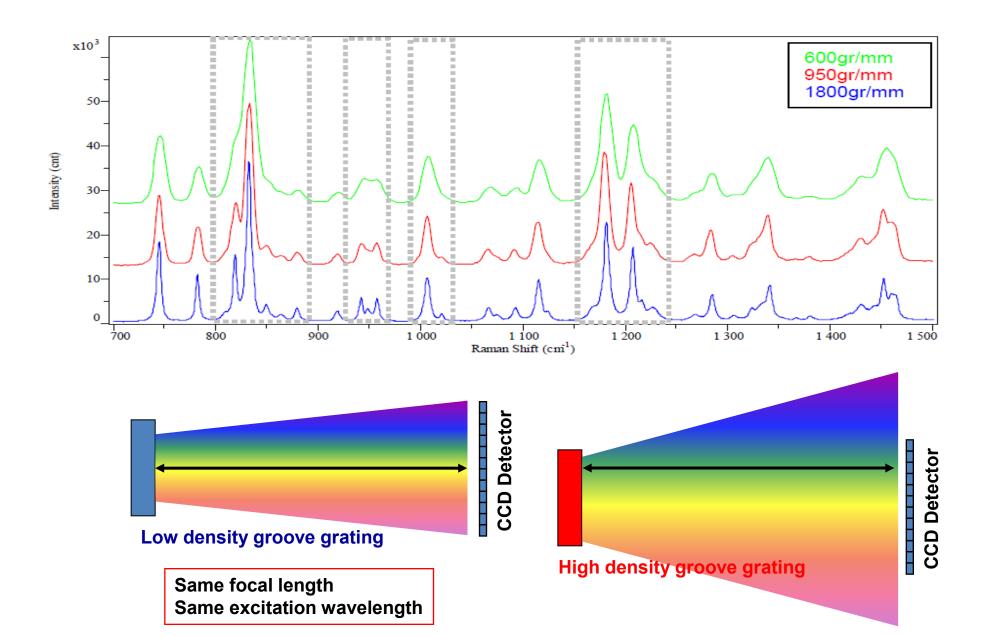


Role of the spectrograph

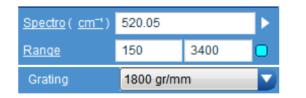
The spectrograph



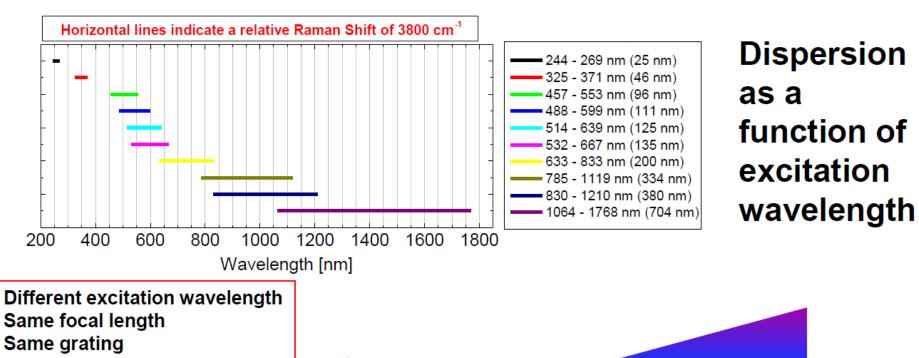
Grating / groove density



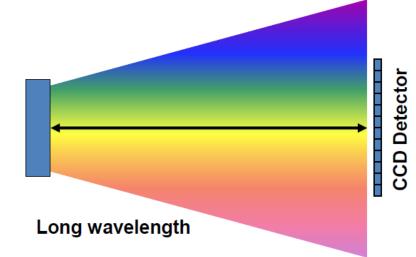
Spectro & Grating





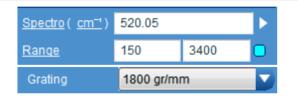


CCD Detector

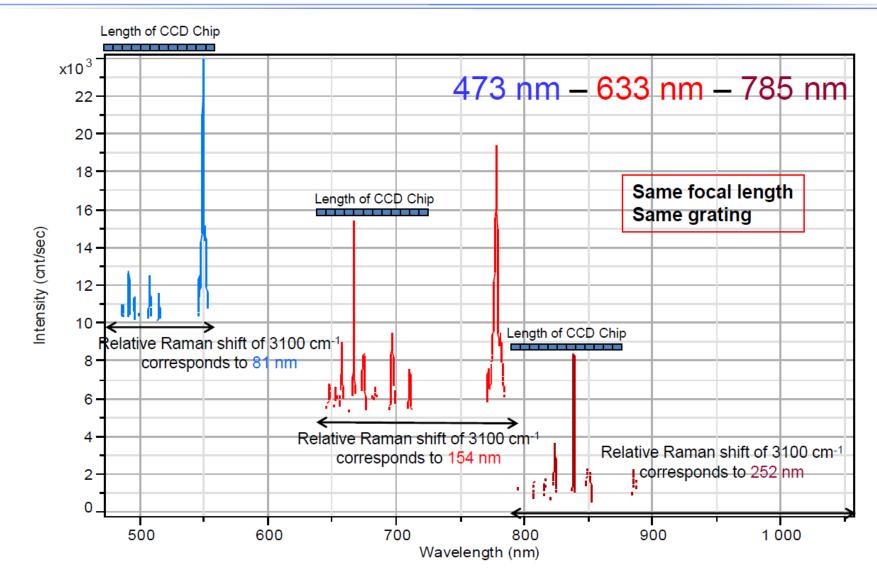


Short wavelength

Spectro & Grating







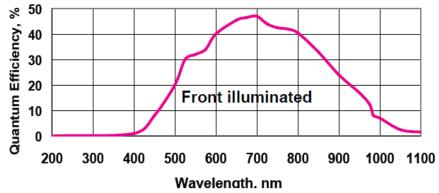


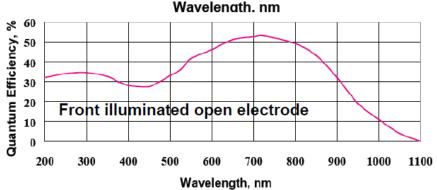
Detector & Quantum Efficiency

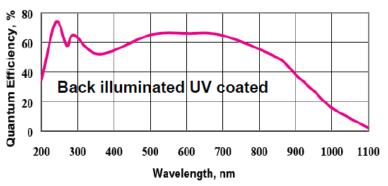
Comparison of different detectors concerning quantum efficiency between 200 – 1100 nm

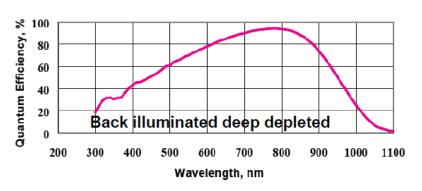




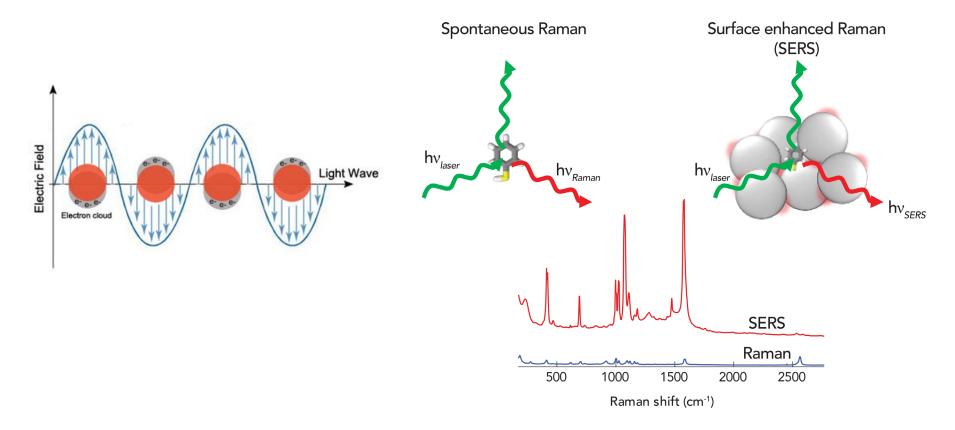








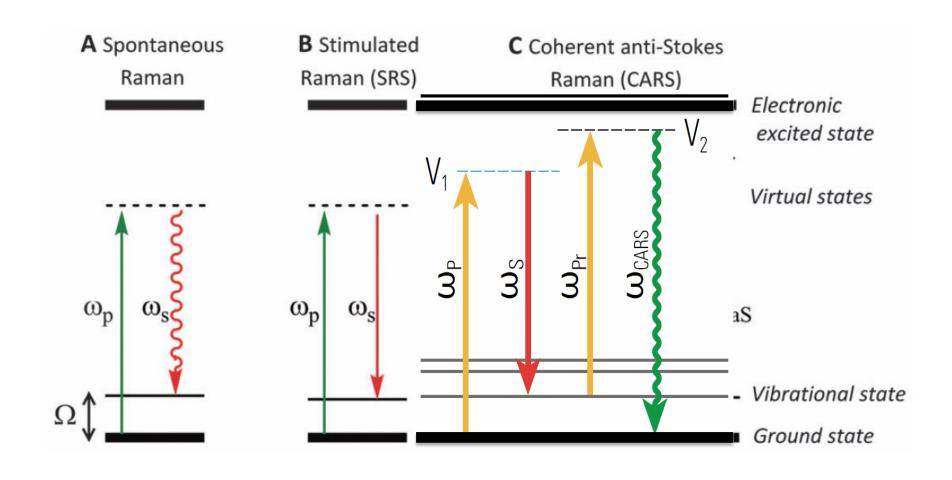
On-going: Enhancing Raman signal



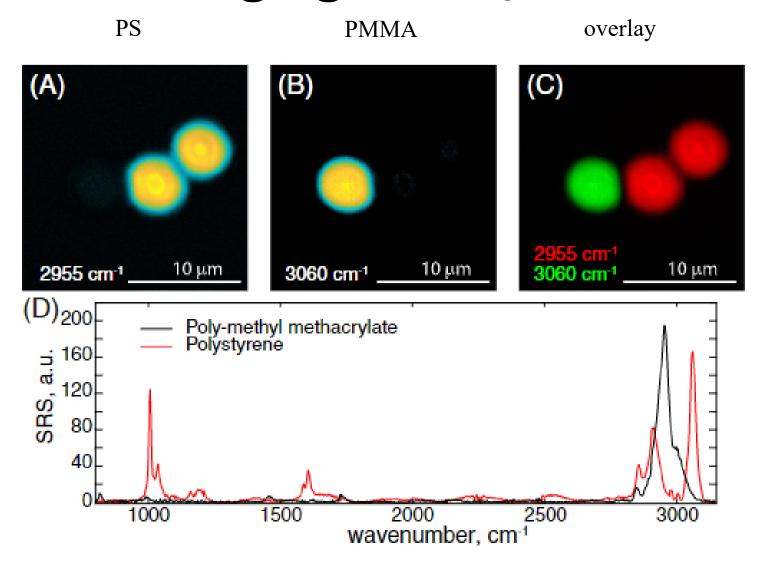
- SERS: Surface Enhanced Raman Scattering
- TERS: Tip-Enhanced Raman Scattering
- Gold, Silver, array., Plasmonics and etc.

Microscopy

: Spontaneous Raman, SRS, CARS

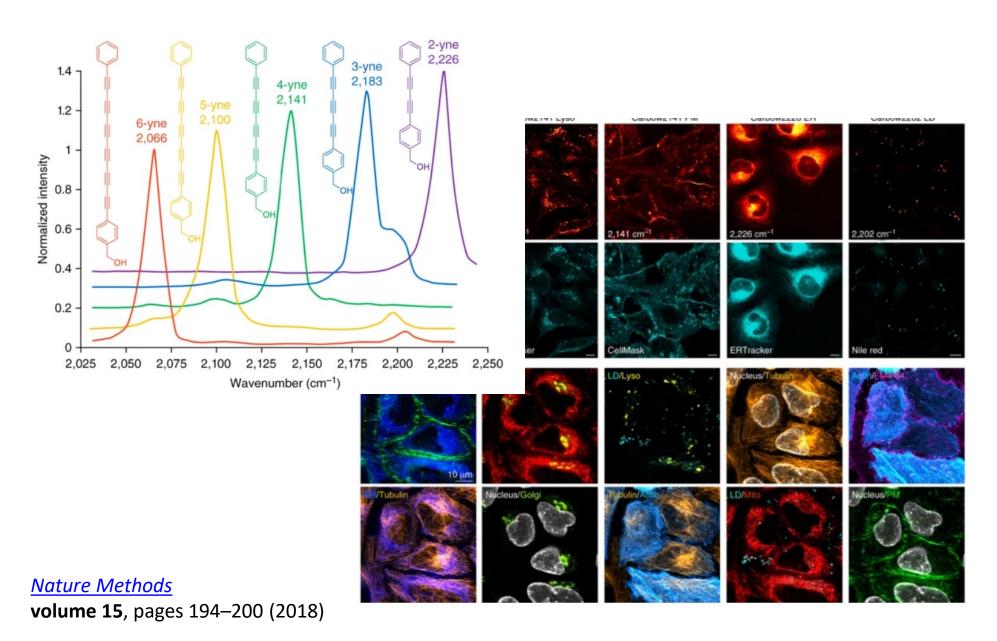


Imaging – SRS / CARS



(A), (B) a cluster of three beads from a mixture of 5µm PMMA and PS beads. (C) Overlay of two SRS images acquired at resonance with PMMA (2955 cm-1, in red), and with PS (3060 cm-1,in green).

On-going: Raman Tag for imaging



Summary & Comment

- Raman spectroscopy occurs as a result of a molecular vibration causing a "change in polarizability" of the molecule.
 - cf) IR: dipole moment change
- Raman microscope (spectroscopy) could utilize a lot and broadly of characteristic application including chemical imaging.
- To achieve the goal, it is important to understand the physics/optics and the components of Raman instrument.
- It would be good to consider not only experiment but also data (spectra) analysis and database library.

References & Comments

Sources

- Horiba https://www.horiba.com/int/scientific/technologies/raman-imagingand-spectroscopy/raman-spectroscopy/
- University of Washington: Raman tutorial

Raman Workshop in ETH Zurich (2025)

https://doctoral-school.ethz.ch/events/raman.html

